

Atty. Docket No.  
005313.00003



PATENT

#7

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:

Marc D. VanHeyningen et al.

U.S. Pat. App. No.: 09/783,146

Filed: February 13, 2001

Examiner:

Group Art Unit: 2151

For: METHOD AND APPARATUS FOR PROVIDING SECURE STREAMING  
DATA TRANSMISSION FACILITIES USING UNRELIABLE PROTOCOLS

**INFORMATION DISCLOSURE STATEMENT**

**RECEIVED**

**JUN 05 2002**

Assistant Commissioner for Patents  
Washington, D.C. 20231

**Technology Center 2100**

Sir:

Pursuant to his duty of disclosure under 37 C.F.R. §1.56, Applicant brings the following documents to the attention of the Examiner in the above-identified patent application:

- (1) U.S. Patent No. 6,029,245 to Scanlan, issued February 22, 2000; and
- (2) U.S. Patent No. 6,185,567 to Ratnaraj et al., issued February 6, 2001.

A PTO-1449 form is included herewith listing these documents, and a copy of each of these documents is attached. These documents were cited in an International Search Report for a related PCT application, a copy of which is also attached.

The International Search Report is in English. Therefore, Applicant respectfully urges that further comment is unnecessary in accordance with 37 C.F.R. 1.98(a)(3). However, Applicant respectfully points out that the International Search Report classifies these documents in category X.

A first Official Action has not yet issued for this application. Therefore, it is respectfully urged that no fees are required for the Examiner's consideration of the documents listed in this Information Disclosure Statement.

Accordingly, it is respectfully requested that the documents listed above be considered by the Examiner in the above-identified patent application and that they be made officially of record therein. It is further requested that a listing of the same appear on the face of any patent that may issue from this application.

Respectfully submitted,

BANNER & WITCOFF, LTD.

By: Thomas L. Evans

Thomas L. Evans, Reg. No. 35,805  
1001 G Street, N.W., 11<sup>th</sup> Floor  
Washington, D.C. 20001-4597  
Telephone: (202) 508-9100  
Facsimile: (202) 508-9299

May 31, 2002



#7

Sheet 1 of 1

PTO-1449 (Modified)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT	ATTY. DOCKET NO.  005313.00003	SERIAL NUMBER  09/783,146
	APPLICANT  Marc D. VanHeyningen et al.	
	FILING DATE  February 13, 2001	GROUP ART UNIT  2151

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	6,029,245	2/22/2000	Scanlan			
	6,185,567	2/6/2001	Ratnaraj et al.			

RECEIVED  
JUN 05 2002  
Technology Center 2100

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER	DATE CONSIDERED
EXAMINER: Initial citation if reference was considered. Draw line through citation if not in conformance to MPEP 609 and not considered. Include copy of this form with next communication to applicant.	

Ids w/1449 form filed: May 31, 2002

Please type a plus sign (+) inside this box



JUN 03 2002

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	<b>Application Number</b>	09/783,146	
	<b>Filing Date</b>	February 13, 2001	
	<b>First Named Inventor</b>	Marc D. VanHeyningen et al.	
	<b>Group Art Unit</b>	2151	
	<b>Examiner Name</b>		
<b>Total Number of Pages in This Submission</b>		<b>Attorney Docket Number</b>	005313.00003

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  1) PTO Form 1449; 2) References 3) Return Postcard
<b>RECEIVED JUN 05 2002 Technology Center 2100</b>		
<b>Remarks</b>		
The Commissioner is hereby authorized to charge any necessary fees, including any fees under 37 CFR §1.16 or 37 CFR §1.17, to Deposit Account No. 19-0733.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
<b>Firm or Individual name</b>	Thomas L. Evans, Reg. No. 35,805, Banner & Witcoff, Ltd.
<b>Signature</b>	
<b>Date</b>	May 30, 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail (Label No. _____) addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: _____			
<b>Typed or printed name</b>			
<b>Signature</b>		<b>Date</b>	

**Burden Hour Statement:** This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.